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PTO/SB/21 (04-04)

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/646,036
Filing Date	August 22, 2003
First Named Inventor	Norio Shimozono
Art Unit	2661
Examiner Name	Unassigned
Attorney Docket Number	16869N-090300US

Total Number of Pages in This Submission

## ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment/Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
  - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
  - ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s)

- ☐ After Allowance Communication to Technology Center (TC)
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):  
USPTO form SB08A (one page), copies of three cited foreign patent references, copy European Search Report for EP03018489, Return Postcard

Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Townsend and Townsend and Crew LLP	Reg. No. 41,405
Signature		
Date	9-1-04	

## CERTIFICATE OF TRANSMISSION/MAILING

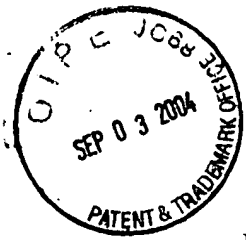
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name Roger Hylton

Signature

Date

9/1/04



PATENT  
Attorney Docket No.: 16869N-090300US  
Client Reference No.: NT1233

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Norio SHIMOZONO, et al.

Application No.: 10/646,036

Filed: August 22, 2003

For: NETWORK SYSTEM AND ITS  
SWITCHES

Examiner: Unassigned

Art Unit: 2661

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the cited foreign patent references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Also enclosed is a copy of the European Search Report for the corresponding European application EP03018489 which cites references numbers 16, 19, 20 and 21 as listed on the attached form PTO/SB/08A.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

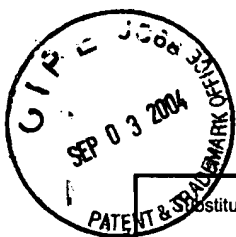
However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Chun-Pok Leung  
Reg. No. 41,405

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60298313 v1



Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Complete if Known</b>	
			Application Number	10/646,036
			Filing Date	August 22, 2003
			First Named Inventor	Norio Shimozone
			Art Unit	2661
Examiner Name	Unassigned			
Sheet 1 of 1	Attorney Docket Number		16869N-090300US	

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	1	US-6,691,198	12-10-2004	Hamlin	
	2	US-2004/0133634	07-08-2004	Luke et al.	
	3	US-2004/0128456	07-01-2004	Kobayashi et al.	
	4	US-6,742,020	05-25-2004	Dimitroff et al.	
	5	US-2004/0088297	05-06-2004	Coates et al.	
	6	US-2004/0088574	05-06-2004	Walter et al.	
	7	US-2004/0078466	04-22-2004	Coates et al.	
	8	US-2004/0078599	04-22-2004	Nahum	
	9	US-2004/0073677	04-15-2004	Honma et al.	
	10	US-6,701,411	03-02-2004	Matsunami et al.	
	11	US-6,493,750	12-10-2002	Mathew et al.	
	12	US-6,477,618	11-05-2002	Chilton	
	13	US-2002/0156887	10-24-2002	Hashimoto	
	14	US-2002/0143999	10-03-2002	Yamagami	
	15	US-2002/0144058	10-03-2002	Burger et al.	
	16	US-2002/124134	09-05-2002	Chilton	
	17	US-2002/0112113	08-15-2002	Karpoff et al.	
	18	US-2002/0042866	04-11-2002	Grant et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	19	PCT	WO03/027856	A1	04-03-2003	Maranti Networks, Inc.		<input type="checkbox"/>
	20	PCT	WO03/017598	A1	02-27-2003	State of Rhode Island Higher Education		<input type="checkbox"/>
	21	EP	1085414	A2	03-21-2001	Hitachi, Ltd.		<input type="checkbox"/>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.  
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